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EXPRESS MAIL CERTIFICATE

Date 5/19/01 Label 853601896-US

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I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service

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07278

PATENT TRADEMARK OFFICE

Docket No: 3109/1G960 US1

DARBY & DARBY P.C.

805 Third Avenue
New York, New York 10022
212-527-7700

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Roger ALCALY; Timothy J. RUDDEROW;
and Frank L. VANNERSON

Title: A METHOD AND SYSTEM FOR GENERATING
AN INDEX OF INVESTMENT RETURNS

including the items indicated:

1. Specification and 33 claims: 16 indep.; 17 dep.; multiple dep.;
including 32 page(s) of written description; 18 page(s) of claims;
 1 page(s) of abstract.
2. ☒ Drawings, 3 sheets (Figs. 1-3)
3. ☒ Executed Declaration/Power of Attorney
☐ Unexecuted Declaration/Power of Attorney
4. ☐ Application Data Sheet under 37 C.F.R. §1.76.

5. ☒ Assignment for recording to: Mount Lucas Management Corp. ✓

☐ Assignment was recorded at Reel No. , Frame No. , on .

☒ Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee: Mount Lucas Management Corp.

6. ☒ The applicant claims small entity status.
7. ☒ Priority is claimed under 35 U.S.C. §119(b) of:

Country: USA
Number: 60/202,790
Date: May 9, 2000

A certified copy of the prior document ☐ is enclosed ☐ will follow ☒ was filed in a previous application.

8. ☐ Priority is claimed under 35 U.S.C. §119(e) of:

Number:
Date:

A certified copy of the prior document ☐ is enclosed ☐ will follow ☐ was filed in a previous application.

9. ☐ Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.
10. ☒ Payment in amount of \$1032.00, (\$992 filing; \$40 recording)
in the form of
☒ check
☐ deposit account no. 04-0100
☐ credit card (see attached form)
(See attached **Fee Computation Sheet**)
11. ☐ Preliminary Amendment

12. ☐ Information Disclosure Statement
13. ☐ Nucleotide or Amino Acid Sequence Listing.

☐ Hard Copy ☐ CRF

Date: May 9, 2001

Respectfully submitted,



Chanah Brenenson
Reg. No. 47,442
Attorney for Applicant(s)

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$710.00
Total Claims	33 - 20	- 0 = 13	x \$18.00	\$234.00
Independent Claims	16 - 3	- 0 = 13	x \$80.00	\$1040.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$0.00
SUBTOTAL				\$1984.00
[X] Small Entity REDUCTION (Half of Subtotal)				\$992.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$1032.00

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